

Dataset of Water Conservation of Forest Ecosystem in the Upper Reaches of Wujiang River, China

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Abstract: Water conservation is function of forest ecosystems, and is vital in flood control, drought relief, soil erosion, and alleviation of regional water shortage. In this study, we estimated water conservation quantity (WCQ) of forest ecosystems in the upper reaches of the Wujiang River (URWR) using integrated storage capacity method of modeling, using forest inventory data in 2010 of Bijie city, Guizhou province. We applied linear regression method to analyze the relationship between forest ecosystem unit water conservation quantity (UWCQ), as a metric of forest water conservation capacity, and elevation. We derived elevation, slope gradient, aspect, and slope position in the study area from digital elevation model (DEM), using slope information extraction method based on hydrological analyses. Results showed that total URWR forest ecosystem WCQ was $563.05 \times 10^6 \text{ m}^3$ and UWCQ was 774.73 t/hm^2 in 2010. There was a negative relationship between UWCQ and elevation, where average UWCQ decreased by 90.56 t/hm^2 with every 1-km increase in elevation. The dataset includes: (1) geographical location map data; (2) elevation classes; (3) forest ecosystem water conservation data, comprising site conditions (aspect, slope position, slope gradient, and forest type), and computed results that include total and individual WCQ of three forest hydrological layers, UWCQ in forest sub-compartment polygons (.shp format), and forest information, such as soil thickness and forest crown density (.shp format). The dataset was archived to 26 data files in .shp and .tif data formats, which amount to 261 MB (144 MB after compressed to one .rar file). The results of the analysis of this dataset were published in the *Journal of Geo-Information Science* in No.7, Vol. 18, 2016.

Keywords: Wujiang River; forest; ecosystem; conservation; Guizhou

1 Introduction

The Wujiang River is one of the main tributaries of the Yangtze River: it is 1,037 km long and covers an area of $87.9 \times 10^2 \text{ km}^2$. The Wujiang River basin is located in the central area

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of the karst region of southwest China, stretching from east of the Yunnan-Guizhou plateau in the west, to the mountains and hills of western Hunan in the east^[1]; approximately 76.79% of the basin is distributed in Guizhou province. The upper reaches of the Wujiang River (URWR) at Bijie city are in the karst hinterlands of Yunnan, Guizhou, and Guangxi^[2] that are characterized by severely-incised terrain, deep valleys, thin soils and fragile ecological environment^[3]. There was widespread deforestation in this area in the 1960s that resulted in reductions in forest coverage (from 12.84% in 1957 to 5.80% in the 1960s) and forest-grass coverage (from 27.49% in the 1950s to 19.17% in the 1960s)^[4]. These changes led to soil erosion and land degradation, and frequent occurrence of drought, flood, and debris flow events^[5]. A series of ecological restoration programs, including the Grain for Green Program, have been implemented in the karst areas of southwest China since 2000 to control soil losses^[3]. Forest, as the main body in preventing and controlling soil erosion, its ecosystem function of water conservation plays an important role in retaining soil moisture, mitigating runoff and replenishing groundwater^[6–7], thus is helpful for flood and drought control, soil fixation and fertilizer preservation, and regional water shortage alleviation.

The water conservation ecosystem service provided by forest ecosystems of the URWR, one of the important water sources in southwestern China, is vital to the local, and middle and lower reaches of the Yangtze River. Here, we used the integrated storage capacity method to estimate the water conservation quantity (WCQ) of forest ecosystems in the URWR, based on the forest inventory of Bijie city, Guizhou province in 2010^[8]. Our dataset involved forestland information including slope gradient, slope position, elevation, forest age, etc. The relationship between elevation, one of the site condition, and unit water conservation quantity (UWCQ) of forest ecosystem that is considered as forest water conservation capacity (WCC) was also analyzed.

2 Metadata of Dataset

The metadata for dataset of water conservation of forest ecosystem and its spatial variation in the upper reaches of Wujiang River^[8] is summarized in Table 1, including dataset name, authors, year, spatial resolution, data format, data size, data files, data publisher, and data sharing policy, etc.

3 Methods

3.1 Basic Data Collection

Basic reference data, based on the municipal boundary of Guizhou province and map of national secondary water resources partition, were provided by the local government and our research group, respectively. Forest vegetation map data were derived from the forest inventory of Bijie city, Guizhou province in 2010, which included abundant forest land information such as forest sample plot coordinates, area, dominant tree species, soil thickness, and land degradation type. We used ASTER GDEM V2 (<http://www.jspace-systems.or.jp/ersdac/GDEM/E/1.html>)^[10], with spatial resolution of 30 m, as the digital elevation data source.

3.2 Data Processing

3.2.1 Estimation of Forest Ecosystem Water Conservation

We used the integrated storage capacity method (ISCM) to estimate the WCQ of the URWR forest ecosystem, because it considers water conservation as a synthesis of three hydrological processes: canopy interception, litter containment, and soil storage^[11]. ISCM was calculated as:

$$Q = CI + LC + SS \tag{1}$$

where Q is the total quantity of forest water conservation (m^3), CI is canopy interception (m^3), LC is litter containment (m^3), and SS is soil storage (m^3).

Table 1 Metadata summary for dataset of water conservation of forest ecosystem and its spatial variation in the upper reaches of Wujiang River

Items	Description
Dataset full name	Dataset of water conservation of forest ecosystem and its spatial variation in the upper reaches of Wujiang River
Dataset short name	WaterConsvUpWujiang
Authors	Tang, Y. Z. E-6912-2018, Institute of Geographic Sciences and Natural Resources Research, Chinese Academy of Sciences, tangyz.14b@igsnrr.ac.cn Shao, Q. Q. E-7614-2018, Institute of Geographic Sciences and Natural Resources Research, Chinese Academy of Sciences, shaoqq@ igsnrr.ac.cn
Geographical region	The eastern to central region of Bijie city, Guizhou province (26°21'N–27°35'N, 104°15'E–106°43'E)
Year	2010
Spatial resolution	30 m
Data format	.rar, .dbf, .prj, .sbn, .sbx, .shp, .xml, shx, .pdf, .tfw, .tif
Data size	261 MB (144 MB after compression)
Data files	The dataset consists of 1 compressed data file package, including 3 data folders and 26 data files: 1_StudyArea: geographical location map data of the URWR (boundary), composed of 7 data files with data volume of 464 KB 2_WaterConservation: forest ecosystem water conservation dataset of the URWR, composed of 15 data files with data volume of 258 MB 3_ElevationClusters: elevation classes dataset of the URWR, composed of 4 data files with data volume of 3.23 MB
Foundations	Ministry of Science and Technology of P. R. China (2013BAC03B00); Chinese Academy of Sciences (GHJ-ZLZX-2018-14)
Data publisher	Global Change Research Data Publishing & Repository, http://www.geodoi.ac.cn
Address	No. 11A, Datun Road, Chaoyang District, Beijing 100101, China
Data sharing policy	Data from the Global Change Research Data Publishing & Repository includes metadata, datasets (data products), and publications (in this case, in the <i>Journal of Global Change Data & Discovery</i>). Data sharing policy includes: (1) Data are openly available and can be free downloaded via the Internet; (2) End users are encouraged to use Data subject to citation; (3) Users, who are by definition also value-added service providers, are welcome to redistribute Data subject to written permission from the GCdataPR Editorial Office and the issuance of a Data redistribution license, and; (4) If Data are used to compile new datasets, the ‘ten percent principal’ should be followed such that Data records utilized should not surpass 10% of the new dataset contents, while sources should be clearly noted in suitable places in the new dataset ^[9]

(1) As the initial allocation of forest to precipitation, CI is not only related to canopy

structure, density, and leaf shape, but is also strongly influenced by the amount and intensity of rainfall and wind^[12]; it was estimated as

$$CI = \sum_{i=1}^n R \cdot \alpha_i \cdot A_i / 10 \quad (2)$$

where R is the single maximum precipitation (mm), α_i is the canopy interception rate of forest type i (%), and A_i is the area of forest type i (hm²).

(2) Litter layer, the second hydrological layer for precipitation retention, is important in the evaluation of water conservation function of forest ecosystems, and LC depends on litter layer structure, decomposition status, litter accumulation, and water-holding capacity. LC was calculated as

$$LC = \sum_{i=1}^n \beta_i \cdot A_i \quad (3)$$

where β_i is maximum litter water-holding capacity of forest type i (t/hm²) and A_i is the area of forest type i (hm²).

(3) The water-holding capacity of forest soil is a primary indicator of water conservation assessment, and is determined by soil infiltration velocity, soil porosity, and soil thickness. SS was defined as

$$SS = \sum_{i=1}^n \gamma_i \cdot h_i \cdot A_i \quad (4)$$

where γ_i is the soil non-capillary porosity beneath forest type i (%), h_i is soil thickness beneath forest type i (cm), and A_i is the area of forest type i (hm²).

3.2.2 Estimation of Forest Ecosystem Water Conservation

We adopted the slope information extraction method (SIEM), based on hydrological analysis^[13], to generate the slope position of each forest sub-compartment polygon in the URWR. SIEM obtains water network and small watershed units from the digital elevation model (DEM) using ArcGIS10.4 tools, extracts ridge and valley lines according to flow paths and catchment according to the small watershed units, distinguishes the slope position units, and classifies the slope position units according to the main flow path. For details of SIEM, refer to the literature^[13]. With reference of the *National Forest Resources Continuous Inventory Technology*^[14], slope position in the study area was classified into six categories: ridge, upper slope, middle slope, lower slope, valley, and flat ground. Ridge is the watershed borderline of a mountain chain, along with a 15-m vertical height range down on its both sides; Upper slope, middle slope and lower slope are respectively the upper, middle and lower part of a slope where locate between the ridge and valley; valley lies at the base of both sides of the catchment line (it is also recognized as valley if the plot locates at pits in other mountain parts); flat ground locates in a plain or terrace.

3.2.3 Statistical Analysis

We used linear regression to analyze the relationship between UWCQ and elevation, at $P < 0.05$ ^[15].

3.3 Data Development

Data were analyzed and modeled according to the procedure shown in Figure 1. The forest vegetation map, which was delimited by the Wujiang River basin according to the national

secondary water resources zone map, was generated from the 2010 forest inventory data. Vegetation was categorized into nine types, according to the classification system of Chinese Vegetation and National Forest Resources Continuous Inventory Technology, and accounting for density, area, biological, and ecological characteristics of more than 60 dominant tree species^[9] that comprised temperate coniferous, warm temperate coniferous, warm temperate coniferous and mixed broad-leaf, deciduous broad-leaf, evergreen and mixed deciduous broad-leaf, evergreen broad-leaf, warm temperate bamboo, and economic forests; and, shrubbery. Single maximum precipitation was obtained from meteorological stations adjacent to the study area.

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graph TD
    DEM[DEM] --> SG[Slope gradient]
    DEM --> AS[Aspect]
    DEM --> SP[Slope position]
    DEM --> E[Elevation]
    
    URWR[The forest vegetation data in URWR] -- Clip --> TV[The forest vegetation data in URWR]
    TV -- Coalesce --> FT[Forest type]
    FT --> A[Area]
    FT --> ST[Soil thickness]
    
    MS[Meteorological stations] --> SMP[Single maximum precipitation]
    
    L[Literatures] -- Meta-analyze --> CIR[Canopy interception rate]
    L -- Meta-analyze --> LWC[Litter maximum water-holding capacity]
    L -- Meta-analyze --> SNCP[Soil non-capillary porosity]
    
    A --> WCE[Water conservation quantity of forest ecosystem]
    ST --> WCE
    SMP --> WCE
    CIR --> WCE
    LWC --> WCE
    SNCP --> WCE
    
    E -- Spatial difference explore --> WCE
    WCE -- Spatial difference explore --> E
  
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Figure 1 Technical route map

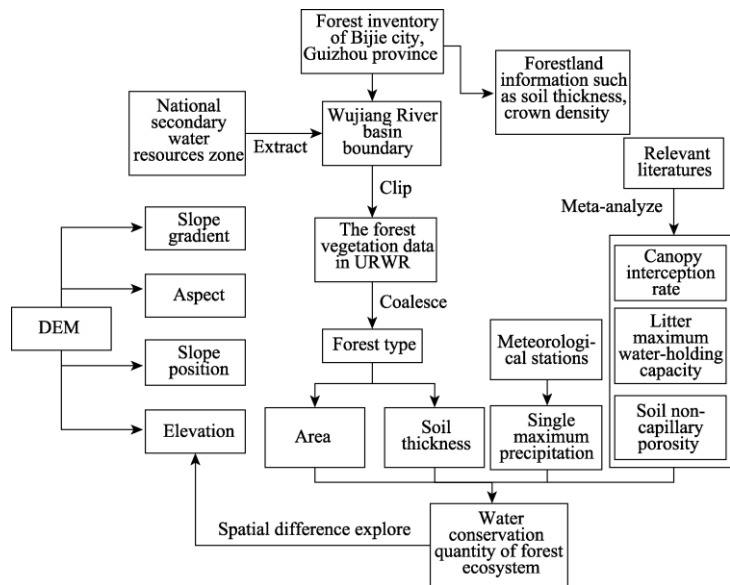


Figure 1 Technical route map

4 Results and Validation

4.1 Data Products

The dataset is consisted of three data files:

(1) Geographical location data file of the URWR (boundary)

The URWR lies between 26°21'N–27°35'N and 104°15'E–106°43'E, covering an area of $1.8 \times 10^4 \text{ km}^2$, and accounting for nearly 20.23% of the Wujiang River basin. Six tributaries of the Wujiang River flow through the study area (Liuchong, Sancha, Luojiao, Erhai, Yachi, and Pianyan Rivers; Figure 2).

(2) URWR forest ecosystem water conservation data file

The data file was archived in ArcGIS .shp format and contains data on site conditions (aspect, slope position, slope gradient, and forest type), and computed results that include individual and cumulative WCQ of the three forest hydrological layers, UWCQ in each forest sub-compartment polygon (shp polygon), and forest information at points (soil thickness, forest age group, crown density, living wood growing stock, and land degradation type).

(3) Elevation classes dataset of the URWR

We divided forestland elevation in the URWR into 22 classes, comprising elevations <800 m and >2,800 m, and at every 100 m between 800 and 2,800 m. The area statistic and spatial distributions of each elevation class are shown in Table 2 and Figure 3 respectively.

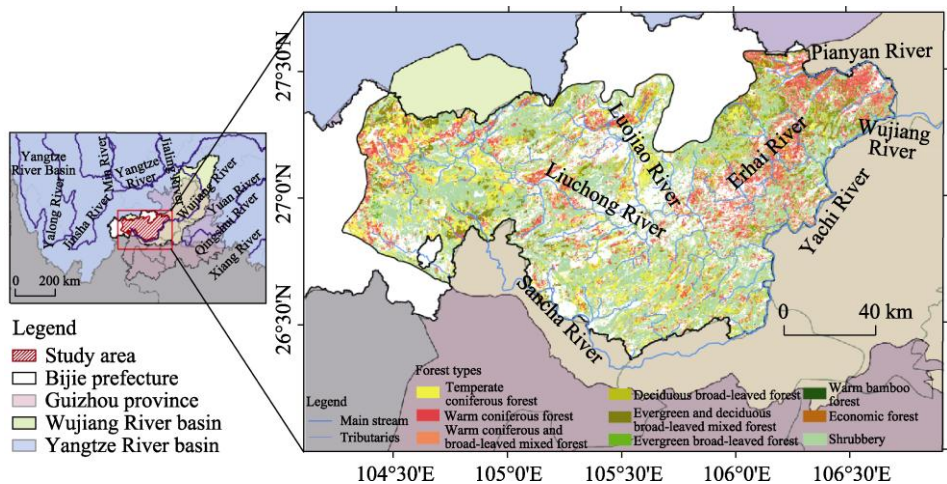


Figure 2 Location and distribution of URWR forest types

Table 2 Area of each elevation class in the URWR

Elevation class (m)	Area (km ²)	Elevation class (m)	Area (km ²)	Elevation class (m)	Area (km ²)	Elevation class (m)	Area (km ²)
<800	54.53	1,300–1,400	1,757.92	1,900–2,000	1,132.36	2,500–2,600	57.43
800–900	272.79	1,400–1,500	2,099.73	2,000–2,100	883.07	2,600–2,700	28.77
900–1,000	497.51	1,500–1,600	2,007.11	2,100–2,200	610.24	2,700–2,800	6.66
1,000–1,100	466.26	1,600–1,700	1,797.33	2,200–2,300	471.28	>2,800	0.61
1,100–1,200	854.66	1,700–1,800	1,508.36	2,300–2,400	323.64		
1,200–1,300	1,554.57	1,800–1,900	1,273.84	2,400–2,500	130.35		

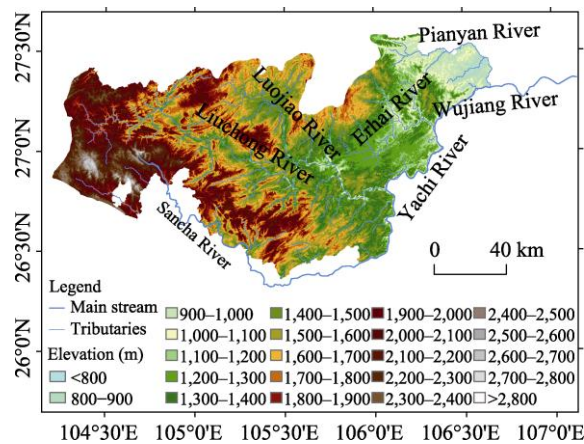


Figure 3 Spatial distribution of elevation classes in the URWR^[16]

4.2 Results

In 2010, the URWR forest ecosystem represented a total WCQ of $563.05 \times 10^6 \text{ m}^3$, with the

area of forestland comprising $726.77 \times 10^3 \text{ hm}^2$ that equated to a UWCQ of 774.73 t/hm^2 . Canopy interception, litter containment, and soil storage were 269.84×10^6 , 18.04×10^6 , and $275.17 \times 10^6 \text{ m}^3$, respectively, representing 42.92%, 3.20%, and 48.87% of the total WCQ, respectively. WCQ gradually declined from the southwest and northeast to the middle region (Figure 4a); spatial distribution of UWCQ was less distinct, where it was shown to decrease from the northeast to southwest in the east, with patchiness in the west (Figure 4b). Forest types were sorted in descending order by their WCQs as follow: shrubbery (38.98%), temperate coniferous forest (21.05%), warm coniferous forest (18.13%), evergreen and deciduous broad-leaved mixed forest (10.73%), deciduous broad-leaved forest (8.61%), warm coniferous and broad-leaved mixed forest (1.50%), evergreen broad-leaved forest (0.51%), economic forest (0.46%), warm bamboo forest (0.03%); decreasing UWCQs were evergreen and deciduous mixed broad-leaf forest, temperate bamboo forest, warm temperate coniferous and mixed broad-leaf forest, temperate coniferous forest, economic forest, warm temperate coniferous forest, deciduous broad-leaf forest, shrubbery, evergreen broad-leaf forest (Table 3).

Table 3 The WCQ of different forest types in the URWR^[16]

Code	Forest types	Area (10^3 hm^2)	Canopy interception (10^6 m^3)	Litter containment (10^6 m^3)	Soil storage (10^6 m^3)	WCQ (10^6 m^3)	UWCQ (t/hm^2)
I	Temperate coniferous forest	135.086	56.702	3.468	58.334	118.503	877.245
II	Warm coniferous forest	125.837	52.397	4.743	44.942	102.081	811.216
III	Warm coniferous and broad-leaved mixed forest	9.427	3.351	0.321	4.754	8.426	893.807
IV	Deciduous broad-leaved forest	67.856	20.016	2.203	26.279	48.497	714.705
V	Evergreen and deciduous broad-leaved mixed forest	64.007	21.106	2.090	37.225	60.421	943.975
VI	Evergreen broad-leaved forest	4.173	1.106	0.078	1.700	2.883	690.942
VII	Warm bamboo forest	0.202	0.056	0.004	0.129	0.189	934.861
VIII	Economic forest	3.103	1.329	0.074	1.172	2.575	829.922
IX	shrubbery	317.078	113.775	5.064	100.635	219.473	692.174

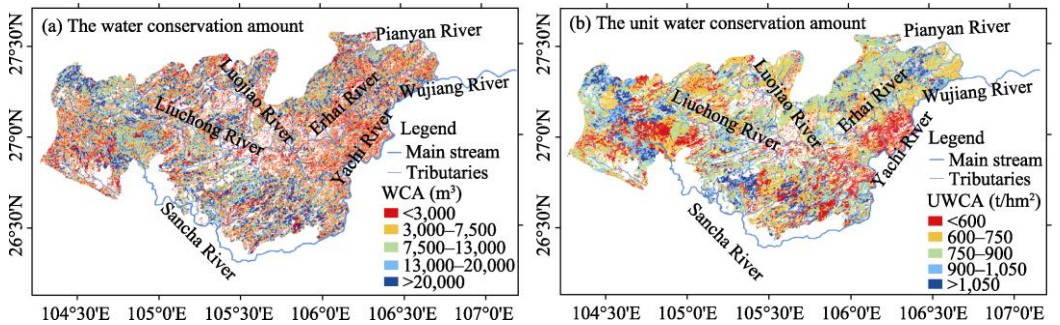


Figure 4 Spatial distribution of WCQ and UWCQ of URWR forest ecosystems^[16]

UWCQ decreased with an increase in elevation ($P<0.01$), where there was an average 90.56 t/hm² decline in UWCQ with every 1-km increase in elevation (Table 4). At elevations below 1,000 m and between 1,300 and 2,000 m, there was an increase in UWCQ, whereas there was a decrease above 2,100 m and between 1,000 and 1,300 m, which was basically consistent with the change characteristics of proportion of area of each forest type with elevation.

Based on remote sensing data, Zhang *et al.*^[17] found that forest WCC initially increased before it declined with an increase in elevation in Liuhe county, Jilin province; these results are in contrast with our findings that forest WCC declined with an increase of elevation. However, the elevation range in the study by Zhang *et al.*^[17] was less than in our study (303–1,257 m), and its forest WCQ increased with elevations below 750 m, reaching a maximum at 750–850 m, before decreasing at higher elevations. We conclude that our findings are valid, because the elevation range in our study (between 700 and 2,900 m) coincided with that when forest WCQ began to fall in the study of Zhang *et al.*^[17].

Table 4 The area proportion of each forest type and UWCQ in each elevation class

Elevation class (m)	Area proportion of each forest type (%)									UWCQ (t/hm ²)
	Temperate coniferous	Warm temperate coniferous	Warm temperate coniferous and mixed broad-leaf	Deciduous broad-leaf	Evergreen and mixed deciduous broad-leaf	Evergreen broad-leaf	Warm temperate bamboo	Economic	Shrubbery	
<800	0.00	0.32	0.07	0.05	0.02	0.04	0.69	0.65	0.10	756.822
800–900	0.03	3.83	0.44	0.97	0.80	0.37	7.84	3.75	0.96	746.343
900–1,000	0.12	7.69	1.61	2.86	3.28	2.61	16.30	4.39	1.45	799.691
1,000–1,100	0.30	6.73	3.73	3.12	4.28	6.16	6.06	4.24	1.67	767.326
1,100–1,200	0.66	8.25	3.62	6.08	7.55	11.53	6.83	3.84	2.64	716.920
1,200–1,300	2.11	11.96	5.25	10.52	9.41	20.55	9.60	8.33	5.91	675.710
1,300–1,400	4.71	9.56	4.46	12.10	7.71	17.84	7.13	8.74	7.91	704.378
1,400–1,500	7.52	10.22	4.93	14.85	6.57	15.99	7.19	10.93	8.82	711.882
1,500–1,600	10.16	10.19	9.46	15.59	4.12	6.48	5.13	16.34	9.77	709.599
1,600–1,700	12.42	7.76	11.75	14.11	4.56	1.30	5.63	11.06	11.39	729.391
1,700–1,800	12.39	6.09	7.56	9.38	5.31	0.72	7.59	10.44	10.94	738.660
1,800–1,900	11.16	4.36	7.40	6.17	6.74	0.42	11.87	6.81	10.36	735.845
1,900–2,000	11.27	4.25	8.60	3.26	9.29	0.86	6.65	5.12	8.99	724.638
2,000–2,100	10.05	3.51	13.97	0.63	11.30	0.06	1.48	1.73	6.38	734.553
2,100–2,200	6.27	2.39	11.40	0.13	8.77	0.08	0.00	1.20	4.50	750.304
2,200–2,300	4.87	1.84	3.95	0.09	6.83	1.23	0.00	1.23	3.59	704.699
2,300–2,400	3.52	0.88	1.82	0.07	2.58	6.95	0.00	1.20	2.25	641.320
2,400–2,500	1.73	0.16	0.00	0.00	0.76	5.46	0.00	0.00	1.05	642.900
2,500–2,600	0.58	0.00	0.00	0.00	0.04	1.36	0.00	0.00	0.65	643.286
2,600–2,700	0.06	0.00	0.00	0.00	0.06	0.00	0.00	0.00	0.55	622.442
2,700–2,800	0.04	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.12	599.769
>2,800	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	403.552

5 Discussion and Conclusion

In 2010, total URWR forest ecosystem WCQ was 563.05×10⁶ m³, equivalent to a UWCQ of 774.73 t/hm². UWCQ was negatively related to elevation, where average UWCQ decreased by 90.56 t/hm² with every 1-km increase in elevation. We classified the numerous vegetation

types in the URWR into nine forest categories, based on the Chinese Vegetation Classification System, and combined average depth of the A and B soil profiles as a measure of soil thickness of each forest type to calculate soil water storage capacity, this may cause the results less precise. Estimation of canopy interception rate, litter maximum water-holding capacity, and soil non-capillary porosity of the forest types may not have accurately reflected local conditions, because data were obtained from the literature. Although ISCM is a relatively comprehensive model, it may occasionally overestimate WCQ due to a lack of inclusion of measures of forest evapotranspiration and effects of rapid runoff from heavy rainfall events^[11]. Therefore, our result reflects the maximum theoretical value of forest WCQ in the URWR, rather than the actual value.

Author Contributions

Shao, Q. Q. and Tang, Y. Z. designed the algorithms of dataset; Tang, Y. Z. contributed to the data processing and analysis; Tang, Y. Z. wrote the data paper.

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